

Privacy Policy

SCF Investment Advisors, Inc., Privacy Notice

Important privacy information for customers of SCF Investment Advisors, Inc.

SCF Investment Advisors, Inc. ("SCFIA") considers the privacy of its customers or former customers to be a matter of the utmost importance. We believe that any personal customer data provided to us should be treated with the highest level of regard for the confidentiality of personal and financial information.

This Privacy Policy will explain the types of information we collect about you, how we use and share that information and how you can instruct us to limit certain types of information sharing. We will send customers the firm's most up to date Privacy Policy each year.

Personal Information

We collect non-public, personal information provided on forms and applications and through electronic media for some individual customers, including but not limited to: your name, address, social security number, marital status, employment, assets and income. We will not sell your personal information. In addition, we will not give or share your personal information with any unaffiliated third party. The law permits us to share information about our current and former customers with government agencies or other authorized third parties under certain circumstances. For example, we may be required to produce such information in response to subpoenas or in compliance with certain laws.

Information Sharing

SCFIA is affiliated with such companies as SCF Securities and SCF Marketing, Inc. SCF is a wholly owned subsidiary of SCF Holdings, Inc. SCF shares information we collect about you with our affiliated companies to:

- Help provide you with better service or perform services on your behalf;
- Make it more convenient for you to open a new account, process servicing needs, etc.;
- Allow an affiliated company to provide you with the information about their products or services that may be of benefit or interest to you.

If your financial advisor terminates his or her relationship with us and moves to another brokerage or investment advisory firm, we or your financial advisor may disclose certain personal information to the new firm, unless you instruct us not to. If you do not want us or your financial advisor to disclose your personal information to the new firm, and if you do not want your financial advisor to retain copies of your personal information when your financial advisor terminates his or her relationship with us, you may request that we and/or your financial advisor limit the information that is shared with the new firm by contacting: SCF Investment Advisors, Inc., Attn: Compliance Department, 155 E. Shaw Ave, Suite 102, Fresno, CA 93710.

Security and Employee Access to Information

SCF Investment Advisors, Inc. maintains physical, electronic and procedural safeguards to protect confidential customer information. SCFIA takes precautions to ensure the information we collect about you is protected and is accessed only by authorized individuals or organization. Our employees are trained about privacy and are required to safeguard confidential customer information.

For More Information

If you have any questions or concerns about the privacy of customer information, you may contact your SCF Financial Advisor or contact the SCFIA Home Office at compliance@scfinc.com, or write to: SCF Investment Advisors, Inc., Attn: Compliance Department, 155 E. Shaw Ave, Suite 102, Fresno, CA 93710.